

EXPRESS MAIL NO. EV 318617600 US

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Form PTO-1449 (modified)

Atty. Docket No.  
10830.0101.NPUS00Serial No.  
10/668,783

List of Patents and Publications for Applicant's

Applicant  
Himabindu Tummala et al.

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
09/23/2003Group:  
~~2186~~ 2162

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
<i>[initials]</i>	A1	6,061,770	05-2000	Franklin	711	162	11/4/1997
<i>[initials]</i>	A2	6,549,992 B1	04-2003	Armangau et al.	711	162	12/02/1999
<i>[initials]</i>	A3	6,618,794 B1	09-2003	Sicola et al.	711	154	10/31/2000
<i>[initials]</i>	A4	2003/ 0079102 A1	04-2003	Lubbers et al.	711	202	10/22/2001
<i>[initials]</i>	A5	2003/ 0158873 A1	08-2003	Sawdon et al.	707	204	02/15/2002
<i>[initials]</i>	A6	2004/ 0030846 A1	02-2004	Armangau et al.	711	154	08/06/2002
<i>[initials]</i>	A7	2004/ 0030727	02-2004	Armangau et al.	714	6	08/06/2002
<i>[initials]</i>	A8	2004/ 0030951	02-2004	Armangau.	714	6	08/06/2002

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	
	C2	
	C3	
	C4	

EXAMINER:

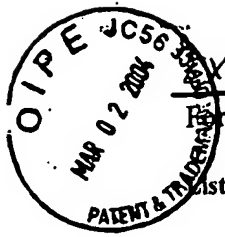
*Jean M. Corrieux*

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*7-10-05*

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



EXPRESS MAIL NO. EV 318 622587 US

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Form PTO-1449 (modified)

Atty. Docket No.  
10830.0101.NPUS00Serial No.  
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List of Patents and Publications for Applicant's

Applicant  
Armangau, et al..

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<i>[initials]</i>	A1	US 2004/ 0030951 A1	02/12/2004	Armangau et al.	714	6	8/6/2002
<i>[initials]</i>	A2	US 2004/ 0030846 A1	02/12/2004	Armangau et al.	711	154	8/6/2002
<i>[initials]</i>	A3	US 2004/ 0030727 A1	02/12/2004	Armangau et al.	707	200	8/6/2002
<i>[initials]</i>	A4	US 2003/ 0217119 A1	11/20/2003	Raman et al.	709	219	5/16/2002
<i>[initials]</i>	A5	6,549,992	4/15/2003	Armangau et al.	711	162	12/2/1999
<i>[initials]</i>	A6	6,434,681	08/13/2002	Armangau	711	162	12/02/1999
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<i>[initials]</i>	A9	6,269,431	6/31/2001	Dunham	711	162	8/13/1998
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<i>[initials]</i>	A12	6,035,412	3/7/2000	Tamer et al.	714	6	3/19/1997
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Form PTO-1449 (modified)	Atty. Docket No. 10830.0101.NPUS00	Serial No. 10668,783
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT	Applicant Armangau, et al..	
(Use several sheets if necessary)	Filing Date: 09/23/2003	Group: <del>2186</del> 2162

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<i>JH</i>	A24	5,434,994	7/18/1995	Shaheen et al.	395	500	5/23/1994
<i>JH</i>	A25	5,060,185	10/22/1991	Naito et al.	364	900	2/27/1989
<i>JH</i>	A26	4,755,928	7/5/1988	Johnson et al.	364	200	3/5/1984
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









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<i>JH</i>	C1 +	EMC Celerra SE5 File Server, EMC Corporation, Hopkinton, Mass. 01748-9103, 2002, 2 pages.
<i>JH</i>	C2 +	"Celerra File Server in the E-Infostructure," EMC Corporation, Hopkinton, Mass., 2000, 9 pages.
<i>JH</i>	C3 +	"Celerra File Server Architecture for High Availability," EMC Corporation, Hopkinton, Mass., August 1999, 7 pages.
<i>JH</i>	C4 +	Chutani, Sailesh, et al., "The Episode File System," Carnegie Mellon University IT Center, Pittsburgh, PA, June 1991, 18 pages.
<i>JH</i>	C5 +	D.L. Burkes and R.K. Treiber, "Design Approaches for Real-Time Transaction Processing Remote Site Recovery," Digest of Papers in Spring COMPCON90, Feb. 26-March 2, 1990, Thirty-Fifth IEEE Computer Society International Conference, San Francisco, CA, pp. 568-572.
<i>JH</i>	C6 +	"Network Data Management Protocol (NDMP)," <a href="http://www.ndmp.org/info/">http://www.ndmp.org/info/</a> ; NDMP White Paper, <a href="http://www.ndmp.org/info/technology/wp.html">http://www.ndmp.org/info/technology/wp.html</a> ; "Protocol Specification Summary, Document Version: 1.7.2S," <a href="http://www.ndmp.org/info/spec_summary.html">http://www.ndmp.org/info/spec_summary.html</a> ; "Legato Systems Embraces the NDMP Standard: Legato Networker Software to be NDMP Compliant in Q3," <a href="http://www.ftp.legata.com/News/Press/PR209.html">http://www.ftp.legata.com/News/Press/PR209.html</a> ; published at least as early as 10/11/99, 17 pages.

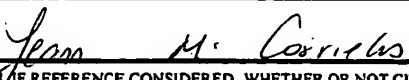
EXAMINER: <i>Sean M. Carricks</i>	DATE CONSIDERED: <i>7/10/05</i>
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Exam. Init.	Ref. Des.	Citation
	C7 <input checked="" type="checkbox"/>	R. Stager and D. Hitz, Internet Draft filename " <u>draft-stager-iquard-netapp-backup-05.txt</u> ," <i>Network Data Management Protocol (NDMP)</i> , last update 10/12/99, pp. 1-73.
	C8 <input checked="" type="checkbox"/>	Koop, P., "Replication at Work. (four companies use Oracle and Sybase replication servers to solve business problems)," <i>DBMS</i> , vol. 8, No. 3, p. 54(4), March 1995.
	C9 <input checked="" type="checkbox"/>	"Remote Mirroring Technical White Paper", Copyright 1994-2002 Sun Microsystems, published at least as early as May 17, 2002 at sun.com, 25 pages.
	C10 <input checked="" type="checkbox"/>	"EMC TechNote:" Celerra Replicator, EMC Corporation, Hopkinton, MA, 2002 (5 pages).
	C11 <input checked="" type="checkbox"/>	"EMC TimeFinder Product Description Guide", EMC Corporation, Hopkinton, MA, 1998, pp. 1-31.
	C12 <input checked="" type="checkbox"/>	"Leveraging SnapView/IP in Oracle8i Environments with the CLARiiON IP4700 File Server", Engineering White Paper, EMC Corporation, Hopkinton, MA, Feb. 13, 2002, pp. 1-16.
	C13 <input checked="" type="checkbox"/>	"Using EMC CLARiiON FC4700 and SnapView with Oracle 8i", Engineering White Paper, EMC Corporation, Hopkinton, MA, March 4, 2002, pp. 1-22.
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	C15 <input checked="" type="checkbox"/>	"Enterprise Volume Manager and Oracle8 Best Practices", Compaq White Paper, Compaq Computer Corporation, Dec. 1999, pp. 1-11.
	C16 <input checked="" type="checkbox"/>	VERITAS Database Edition for Oracle, "Guidelines for Using Storage Checkpoint and Storage Rollback with Oracle Databases", Veritas Software Corporation, Mountain View, CA, August 2001, pp. 1-16.
	C17 <input checked="" type="checkbox"/>	"VERITAS Volume Replication and Oracle Databases", A Solutions White Paper, Veritas Software Corporation, Mountain View, CA, May 29, 2000, pp. 1-31.
	C18 <input checked="" type="checkbox"/>	Nabil Osorio and Bill Lee, "Guidelines for Using Snapshot Storage Systems for Oracle Databases", Oracle Corporation, Oct. 2001, pp. 1- 12.

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